

Spartan Capital announced the Hiring of Randy Hechler as Investment Banking and Trading and Research Compliance Officer

Spartan Capital Securities is Pleased to Announce the Hiring of Randy Hechler as Investment Banking and Trading and Research Compliance Officer

NEW YORK, NY, UNITED STATES,
February 1, 2022 /EINPresswire.com/ --
Randy Hechler has rejoined Spartan
Capital Markets, as Mr. Hechler previously was with the Firm in 2018 as their Chief Compliance
Officer



Spartan Capital

Mr. Hechler has more than 30 years of experience with broker-dealers and has demonstrated his



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Founder and CEO, John Lowry

knowledge by implementing a framework of guidelines and
best practices for compliance in numerous organizations
that encompasses Investment Banking, Trading and
Research. Mr. Hechler currently owns and operates RJH
Compliance Consulting LLC and has previously worked
with Velox Clearing, ED&F Man, and T D Bank regarding
writing process and procedures for the TD Bank's Prime
Broker. Mr. Hechler has managed compliance programs
and served as the CCO for prominent broker-dealers, and
has led the compliance staff at Velox Clearing, Hudson

Securities, Rodman , and Renshaw and was also previously instrumental in helping JMP
Securities to establish a Control Room.

He currently holds FINRA Series 7, 24, 4, 9/10, 27, 55, 63, 65 and 87 licenses. (need to confirm his
licenses)

[Spartan Capital Securities'](#) Founder and CEO, John Lowry commented: "We are very pleased that
Randy Hechler has joined the Spartan team. Randy's extensive knowledge, strong compliance
skills, along with proven industry leadership, position him as an ideal addition to our Firm, as we
continue to evolve and grow."

[About Spartan Capital Securities](#) LLC (SCS): Spartan Capital Securities, LLC is a full-service, integrated financial services firm that provides sound investment guidance for high net worth individuals and institutions. Their in-depth market knowledge, calculated risk management strategy and investment acumen have earned them a strong reputation as trusted financial advisors. Spartan Capital's experienced investment professionals provide highly customized personal service, tailoring an asset allocation program to enable each client to meet their financial goals. Spartan Capital also offers advisory and insurance services through its affiliates, Spartan Capital Private Wealth Management, LLC and Spartan Capital Insurance Services, LLC.

If you have any questions, contact: info@spartancapital.com

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