

# Spartan Capital Securities is Pleased to Announce the Hiring of Amalia Chin as Chief Compliance Officer

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Spartan Capital

NEW YORK, NEW YORK, UNITED STATES, February 17, 2022

/EINPresswire.com/ -- Amalia Chin has joined [Spartan Capital Securities](#) LLC

(SCS) as Chief Compliance Officer Prior to joining Spartan, Ms. Chin served as Chief Compliance Officer at at leading broker-dealers where Ms. Chin had a focus on the firm's Compliance and AML Programs Ms. Chin had diverse roles in the financial services industry and advised on a broad spectrum of compliance and regulatory inquiries. She played a critical role in the

formulation and/or revision of written supervisory procedures as well as implementation and periodic reviews of compliance programs.



We are pleased that Amalia Chin has joined our team. Amalia's extensive knowledge, strong compliance skills, along with proven industry leadership, position her as an ideal addition to our Firm"

*Founder and CEO, John Lowry*

Ms Chin has more than 30 years of comprehensive professional experience in the financial services industry. Her expertise spans virtually all core industry management functions, with particular emphasis on compliance, policy development and operations. She has served as Chief Compliance Officer at leading firms in the financial services industry such as Charles Schwab. Amalia has a BS degree in Economics and

pursued graduate-level coursework and earned credits toward an MBA degree.

Amalia holds FINRA Series 7, 24, 8, 66 and New York Life and Health insurance licenses.

Spartan Capital Securities' Founder and CEO, John Lowry commented: "We are very pleased that Amalia Chin has joined the Spartan team. Amalia's extensive knowledge, strong compliance skills, along with proven industry leadership, position her as an ideal addition to our Firm, as we continue to evolve and grow."

[About Spartan Capital Securities](#) LLC (SCS): Spartan Capital Securities, LLC is a full-service, integrated financial services firm that provides sound investment guidance for high net worth individuals and institutions. Their in-depth market knowledge, calculated risk management strategy and investment acumen have earned them a strong reputation as trusted financial advisors. Spartan Capital's experienced investment professionals provide highly customized personal service, tailoring an asset allocation program to enable each client to meet their financial goals. Spartan Capital also offers advisory and insurance services through its affiliates, Spartan Capital Private Wealth Management, LLC and Spartan Capital Insurance Services, LLC.

If you have any questions, contact: [info@spartancapital.com](mailto:info@spartancapital.com)

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