

Time to Upgrade: RIA Compliance Technology Warns Firms Ahead of Windows 10 EoS

RIA Compliance Technology urges Registered Investment Advisors to upgrade systems before Windows 10 End of Support to avoid compliance and data risks.

PHOENIX, AZ, UNITED STATES, October 9, 2025 /EINPresswire.com/ -- October 2025 Reminder Issued. Windows 10's official End of Support (EoS) date is October 14, 2025, marking the end of Microsoft's free security updates, technical support, and feature upgrades for the operating system.



For Registered Investment Advisors (RIAs), this is more than a routine tech update. Outdated systems can compromise compliance, expose sensitive client data, and result in costly enforcement actions.

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Maintaining compliance security can be as simple as upgrading your Microsoft system.”

Blake Bjordahl, President

“Technology is the backbone of compliance,” said Blake Bjordahl, President of RIA Compliance Technology. “When firms continue using unsupported systems, they introduce unnecessary risk to client trust, data integrity, and regulatory status. The transition from Windows 10 should

be treated as a compliance safeguard—not an IT inconvenience.”

Why This Matters to Compliance Officers and RIAs

The upcoming EoS represents a significant inflection point for compliance operations. Using outdated platforms after October 2025 creates vulnerabilities that can escalate into regulatory violations, cyber incidents, and operational downtime.

Key compliance risks for firms that fail to upgrade include:

-- Heightened Regulatory Risk: Unsupported systems often fail to meet SEC and state cybersecurity requirements, leading to potential fines or examination deficiencies.

-- Data Vulnerability and Client Trust Erosion: Outdated operating systems are prime targets for hackers, placing sensitive financial and personal data at risk.

-- Operational Disruption: Legacy platforms may be incompatible with newer compliance tools, causing disruptions to audit workflow when technical issues arise.



Windows 10's end of support (EoS) date is October 14, 2025. Are you prepared?

"We've seen firms underestimate these deadlines, only to face remediation costs later. Acting early provides the breathing room to test systems, update integrations, and ensure uninterrupted compliance oversight," Bjordahl added.

A Proactive Partner for Compliance-Driven Firms

RIA Compliance Technology helps firms stay audit-ready, organized, and secure in an increasingly complex regulatory environment. The company's compliance automation platform streamlines recurring tasks, provides deadline reminders, and highlights regulatory gaps, helping advisors prevent costly oversights before they happen.

Benefits of partnering with RIA Compliance Technology include:

-- Proactive Compliance Safeguards: Automated workflows and reminders keep firms aligned with evolving regulatory timelines.

-- Integrated Peace of Mind: A real-time compliance dashboard ensures visibility across systems, updates, and documentation.

-- Trusted Expertise and Recognition: Recognized as a Top Satisfaction Provider in the [2025 Kitces Report](#), RIA Compliance Technology is known for simplifying compliance operations while connecting firms with vetted cybersecurity experts when deeper technical support is needed.

Preparing for the Transition

Firms should evaluate their technology infrastructure now to ensure that all software applications, including those for compliance, portfolio management, and document archiving,

remain fully supported after the End of Support.

“Think of this as a compliance fire drill,” said Bjordahl. “It’s the perfect opportunity to confirm that every component of your firm’s technology stack supports a secure, compliant, and scalable foundation.”

RIA Compliance Technology has published an article to help advisors plan their transition:
[Windows 10 End of Support \(EoS\): What RIAs Need to Know](#)

About RIA Compliance Technology

RIA Compliance Technology provides purpose-built compliance solutions for Registered Investment Advisors. Its automation platform helps RIAs navigate complex regulatory requirements while reducing administrative burden and enabling marketing innovation. Recognized in the 2025 Kitces Report as a Top Satisfaction Provider, RIA Compliance Technology empowers firms to stay compliant, efficient, and trusted. For more information about RIA Compliance Technology or to schedule a demonstration, visit <https://riacomptech.com>

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